

Job Description

Job Title Manager, Market Research and Analysis

Job ID 6199

Date Opened 02/04/2019

Date Closed 03/05/2019

Location 1050 1st Street, NE

Full/Part Time Full-Time

Type of Appointment MSS - Reg Appt

Regular/Temporary Regular

Agency SR

Insurance, Securities and Bank

Area of Consideration Open to Public

Grade 14

Bargaining Unit CH11

Non Union - Chapter 11

Minimum Range \$104,702.000000

Maximum Range \$146,582.000000

Target Openings 1

Available Openings 1

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General Job Information

Job Summary

This position is located in the Department of Insurance, Securities and Banking, Office of the Deputy Commissioner for Market Compliance, Office of the Director of Compliance Analysis, Market Research & Analysis Branch. The position's primary purpose is to develop research and analysis of industry sectors to establish best practices standards and guidelines for design, delivery, and results monitoring of financial products and services. The incumbent coordinates information from the Market Examinations Division with Bureaus to identify and define key market factors that drive changes in each industry sector.

Duties and Responsibilities

The incumbent serves as Manager of Market Research and Analysis Branch. In this capacity, he/she is responsible for planning, implementing and coordinating activities of the Compliance Analysts related market trends and issues that affect design, development, access/distribution, use, and expected results of financial products and services offered to individual and business consumers in the District. Work is focused on developing and coordinating information to support market initiatives and/regulatory actions that improve protections for individual and business consumers against abusive financial products, services and/or practices by individual and corporate entities. Emphasis is placed on developing proactive capabilities to monitor market activities leading to improvements in the approval process for new or modifications to existing financial products and services, and the development of guidelines and recommendations that lead to best practices within industry sectors. The incumbent supervises the work of a staff responsible for reviewing and evaluating new and modifications to existing financial products and services; changes in market conditions or trends affecting market transactions; and, abusive financial services market practices identified through financial examinations and the complaint process. The incumbent oversees the conduct of market segment studies, development of programs, initiatives, and recommendations and

development of industry sector guidelines to accomplish objectives. The purpose of these activities is to accomplish the objectives as follows:

Work with Associate Commissioners to identify changes in market conditions, determine regulatory actions to be taken, review the status of guideline implementation, and identify additional areas of concern for consumer protection.

The incumbent assures industry sector guidelines are aligned with Department regulatory requirements and develops information to support rules, processes, and/or procedures for complaint resolution strategies, licensee enforcement policies and procedures and parameters for monitoring the implementation of industry sector guidelines within the Department. The incumbent will research and analyze factors that might lead to market dislocations or greater concerns. In conjunction with industry sector staff, the incumbent will develop proposals for regulatory responses and be responsible for evaluating the effectiveness of policy or program implementation based on consumers and agency interactions.

The incumbent develops the Branch's annual and long term operating plans. Develops and implements operating procedures for the effective accomplishment of planned objectives. Monitors and evaluates the operations of the Branch for efficiency, effectiveness and compliance with policies and procedures of the Department. Analyzes existing work methods and management techniques to determine if they provide maximum efficiency and effectiveness in accomplishing the Branch's mission and goal; revising as necessary.

Qualifications and Education

It is desirable that the incumbent possess a Bachelor's Degree in a related field. Incumbent must have 5 years of experience.

Mastery of financial market transactions and the implications of risk finance operations within and across industry sectors. Mastery of market convergence, and familiarity with products and services typically provided by insurance, securities and banking firms.

Mastery of and skill in problem solving negotiation strategies, and project management to conduct in-depth research and analysis; and mastery of management skill and experience in planning, organizing, and direct team study work, and negotiating effectively with management to accept and implement recommendations.

Demonstrated skills and experience in providing leadership in managerial capacity and in providing leadership in a team-based environment; and the ability to set appropriate work standards and to hold people accountable for their performance.

Superior oral and written communication skills to develop and present oral and written reports on complex technical problems and issues related to DISB; and to negotiate, build partnerships, and provide authoritative advice on rules, regulations, and/or other related issues. Ability to build consensus among key stakeholders on change initiatives.

Licensures and Certifications

None

Working Conditions/Environment

The work is performed in an office environment.

Promotion Potential

None

Other Significant Facts

The incumbent of this position will be subject to enhanced suitability screening pursuant to Chapter 4 of DC personnel regulations, Suitability. Security Sensitive.