

Government of the District of Columbia
Department of Insurance, Securities and Banking



Insurance Form Filing Procedures

The Government of the District of Columbia, Department of Insurance, Securities and Banking, Products Division, Forms and Analysis Branch (Accident and Health) accepts form filings through:

System for Electronic Rate and Form Filing (SERFF) www.serff.com

SERFF was mandated October 1, 2007 by The District of Columbia Department of Insurance, Securities and Banking (DISB).

All lines of Accident and Health can be submitted through SERFF. Forms and Rates are to be submitted separately.

Before submitting SERFF filings, review required laws, bulletins, notices and mandated benefits.

Insurance Laws are in District of Columbia Code 2001 Edition, Division V. Title 31, Insurance and Securities. Bulletins and notices are accessible by www.disb.dc.gov

Mandates are listed in D.C. Code Title 31, Volume 16, a list of mandates precede this document.

Review other filing requirements listed in DCMR 26

If you have any questions concerning form filing requirements, please contact:

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Government of the District of Columbia
Department of Insurance, Securities and Banking



Gennet Purcell
 Commissioner

INSURANCE FORM FILING PROCEDURES

DISTRICT OF COLUMBIA HEALTH INSURANCE MANDATES

Old Reference	New Reference	Description
✓ §35-4801	§31-2801	Access to Emergency Medical Services
✓	§31-2803	Emergency Department HIV Screening
✓ §32.572.1 (1999)	§44-302-01 (2001 ed.)	Access to Specialists as Primary Care Providers <i>(applicable to HMOs only)</i>
	DCMR 26, 211.1	Advertisement
	DCMR 26, 2618	Advertisement Long Term Care <i>(Filing Requirements for Advertising)</i>
DC Act 17-0236	§16-4401	Arbitration Act of 2007
✓ §35-2401	§31-2901	Cancer Prevention Statute for Women
L18-0098	§31-2995.01	Chemotherapy Pill Coverage Act of 2009
✓	§31-2993.01	Clinical Trials Insurance Coverage Act of 2008
✓	§31-2931	Colorectal Cancer Screening Insurance
	§31-2991	Closed Malpractice Claims
✓	§32-731	Continuation of Health Coverage (“Baby COBRA”)
✓	§31-3001	Diabetes Health Insurance Coverage Expansion Act of 2000
✓ §32.572.3	§44-302.02 (2001 ed.)	Direct access to qualified specialists for females’ health services <i>(applicable to HMOs only)</i>
✓	DC Act 18-0084	Domestic Partnership Judicial Determination of Parentage Amendment Act of 2009
✓ DC Law 9-114	§ 32-701	Domestic Partnership Registration Procedures and Fees Approval Resolution of 2002 & Domestic Partnership Notice Update ✓
✓ §35-2301	§31-3101 (DC Law 16-242)	Drug Abuse, Alcohol Abuse and Mental Illness Insurance Coverage/Expansion of Substance Abuse and Mental Illness Insurance Coverage Amendment Act of 2006
§35-2305 (2001 Repl.)	§31-3105	Drug Abuse, Alcohol Abuse and Mental Illness Insurance Coverage Amendment Act of 2000 (Mental Parity Provisions)
§22-3825.1 et seq.	§22-3225.09 et seq.	FRAUD WARNING Compliance With the Insurance Fraud Prevention and Detection Amendment Act of 1998
	§31-3151	Health Benefits Plan Withdrawal from the Market
§35-2331	§31-3201	Health Insurance Claim Forms

DISTRICT OF COLUMBIA HEALTH INSURANCE MANDATES

✓	DC Law 16-0198	§31-3271	Health Insurance Coverage for Habilitative Services for Children
	§35-1021	§31-3301.1	Health Insurance Portability and Accountability <ul style="list-style-type: none"> • Guaranteed DC HIPAA individual health benefit • plans for eligible individuals • Renewability of current health benefit plans • Availability of health benefit plans by small employers
	§35-4501	§ 31- 3401	Health Maintenance Organizations HMOs are required to "...provide or arrange for basic health care services on a prepaid basis, through insurance or otherwise, except to the extent of reasonable requirements for co-payments of deductibles, or both..." Basic health care services include the following benefits: <ul style="list-style-type: none"> • Preventive care • Emergency care • Inpatient and outpatient hospital and physician care • Diagnostic laboratory and diagnostic and therapeutic radiological services, and • Services mandated under the statutes listed in items 1 through 3.
	§35-4701	§31-3501	Health and Medical Services Corporation Regulation
		§31-3851.01	Health Organization Risk Base Capital
		Bulletin No 09-IB-01-07/02	Implementation of the Domestic Partnership Judicial Determination of Parentage Amendment Act of 2009 & the Jury and Marriage Amendment Act
✓		DC Act 18-0070	Jury and Marriage Amendment
		Bulletin No.: 01-IB-007-02/08	Limited Benefit Alert
		Bulletin 06-IB-001-4/14	Limited Maternity Health Benefit
✓		§31-3601	Long Term Care Insurance
			Medical Necessity/Medically Necessary Definition Add the following to definition: The fact that a Physician may prescribe, authorize or direct a service does not of itself make it <u>Medically Necessary</u> or covered by the Group Policy.
	§32-571	§44-301.01	Medical Necessity Cases (Grievance and Appeals Procedures)
✓	§35-2601	§31-3701	Medicare Supplement Insurance
✓		H.R. 2851	Michelle's Law (Federal Law)
✓	§35-1102 D.C. Law 15-353	§31-3801 & §7-875.01	Newborn Health Insurance/Uniform Child Health Screening Requirements
	§32-573.1	§44-301 et seq. (2001 ed.)	Notification of Health care provider termination; continuance of coverage (<i>applicable to HMOs only</i>)
✓	§35-221	§31-1601 & DC Law 17-0177	Prohibition of Discrimination in the Provision of Insurance on Basis of HIV/AIDS Test (Prohibition of Discrimination on the Basis of Gender Identity and Expression Amendment Act of 2008)

DISTRICT OF COLUMBIA HEALTH INSURANCE MANDATES

		§31-3131	Health Benefits Plans Prompt Pay
✓		§31-2951	Prostate Cancer Screening Insurance Act of 2002
✓	§35-530	§31-4712 & §31-4724	Psychologists or Optometrists Access to psychologists or optometrists under group and individual health insurance policy
	§32-572.2	§44-302.01	Standing referrals to specialists (<i>applicable to HMOs only</i>)
		Bulletin 06-IB-004 8/29	Supplemental Health Policies
		§31-2231.01	Unfair Insurance Trade Practices
✓		§31-3831	Women's Rights Regarding Certain Health Insurance

✓ Mandated Benefits

Revised March 4, 2010