



**DISTRICT OF COLUMBIA GOVERNMENT**  
**Department of Insurance, Securities and Banking**  
 810 First Street NW, Suite 701 • Washington DC 20001  
 202 727-8000 • [disb.dc.gov](http://disb.dc.gov)

**License Application For A Rating or Advisory Organization**

*(Subject to the provisions set forth in DC Code §31-2705 - 31-2710  
 and in accordance with the authority granted to the Commissioner)*

You may e-mail this form and attachments to: [denise.parker@dc.gov](mailto:denise.parker@dc.gov) or fax to: (202) 354-1084

**Contact Information**

Applicant Name: \_\_\_\_\_

Main Adm. Office Address: \_\_\_\_\_  
 \_\_\_\_\_

Business Address: \_\_\_\_\_  
 \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
 \_\_\_\_\_

Statutory Home Office: \_\_\_\_\_  
 \_\_\_\_\_

Contact Name & Title: \_\_\_\_\_

Contact Telephone #: \_\_\_\_\_ Ext. \_\_\_\_\_ Fax # \_\_\_\_\_

Contact Email Address: \_\_\_\_\_

Applicant Website Address: \_\_\_\_\_

**Company Information**

Fed Tax ID# \_\_\_\_\_

Date of Incorporation \_\_\_\_\_

State of Incorporation \_\_\_\_\_

Years in this business \_\_\_\_\_

Best Rating \_\_\_\_\_

YES NO

1.   Within the past 5 years have you ever had a license revoked?

2.   Within the past 5 years has your company been cited, fined or charged with unethical practices?

Explanation-  
 (Attach a separate sheet if necessary):

**In accordance with DC Code §31-2706, Please check that the following have been included with your application:**

A copy of the constitution, articles of agreement or association or certificate of incorporation  
 Bylaws, rules and regulations governing the conduct of your business      A copy of the DC Business License  
 A list of members and/or subscribers      Proof of compliance with service of process (§31-202) - fill in below  
 A statement relating to your qualification      A \$250.00 License fee. Make check payable the DC Treasury

**Proof of compliance with service of process (31-202) Name:** \_\_\_\_\_

Address \_\_\_\_\_ E-mail \_\_\_\_\_ Fax: \_\_\_\_\_

**I affirm the information presented is true and correct**

\_\_\_\_\_  
 Principal's Signature      Date: \_\_\_\_\_