
Life and Annuities Insurance Form Filing Procedures

The Government of the District of Columbia, Department of Insurance, Securities and Banking Insurance Products Division, Forms and Analysis Branch accepts all lines of Life and Annuities filings through:

System for Electronic Rate and Form Filing (SERFF) www.serff.com.

SERFF was mandated on October 1, 2007, by The District of Columbia Department of Insurance, Securities and Banking (DISB).

All lines of Life and Annuities filings can be submitted through:

[The Interstate Insurance Product Regulation Commission \(Compact\)](#) within SERFF. Forms and Rates are to be submitted separately.

The Insurance Compact enhances the efficiency and effectiveness of the way insurance products are filed, reviewed, and approved allowing consumers to have faster access to competitive insurance products in an ever-changing global marketplace. The District of Columbia is a member of the Compact. To [create a Compact filing within SERFF](#), click the "Create Compact Filing" button under the Filings tab. Compact checklists can be accessed at [Record Adopted Standards](#).

To find the relevant checklists, please follow these steps:

1. Select the applicable record, product, and/or filing type(s).
2. Click "Apply."
3. From the results, click on the desired standard.
4. Click "Overview," then scroll down to select "Standard Checklist."

Please submit your filing to either the District or The Compact, but not both. Submitting the same filing to both entities is unnecessary. If you inadvertently submit to both, please indicate this in your filing so that we can avoid duplicating our review efforts.

Before submitting [SERFF](#) filings, review required laws, bulletins, notices and regulations, and the ["Filing Rules" in SERFF](#).

Insurance Laws are in [District of Columbia Code, Title 31, Insurance and Securities](#). [Bulletins and notices](#) are accessible by disb.dc.gov. Review other filing requirements listed in the insurance regulations in [DCMR 26-A](#).

If you have any questions concerning form filing requirements, please contact:

Interstate Insurance Product Regulation Commission (Compact)
Comments@insurancecompact.org (202) 471-3962

Supervisory Insurance Examiner
Howard Liebers Howard.Liebers@dc.gov 202-442-8571

Insurance Examiner
Hawi Chibessa Hawi.Chibessa1@dc.gov 202-442-7786