BULLETIN

0X-SB-00X 03/20

TO: SECURITIES INDUSTRY

RE: TEMPORARY SUSPENSION OF DISB BULLETIN NO. 03-SB-04-03/21

(REVISED) RE SECURITIES BUREAU LOCKBOX FILINGS, DURING THE

CORONAVIRUS (COVID-19) PUBLIC HEALTH EMERGENCY

FROM: KARIMA WOODS, ACTING COMMISSIONER

DATE: APRIL X, 2020

Intent of Bulletin

This bulletin is intended to inform securities industry firms that, effective immediately and due to the coronavirus (COVID-19) public health emergency, the procedures established by Bulletin No. 03-SB-04-03/21 (revised) are suspended until further notice. Required filings and fee payments that would, under Bulletin No. 03-SB-04-03/21, be submitted to the Department via private courier services to the Securities Bureau's Wells Fargo Bank Lockbox #92660; or by USPS Express Mail to the Department of Insurance, Securities and Banking, P.O. Box 92660, Washington, DC 20090; must be submitted to the Department, instead, by sending an email to corpfin.disb@dc.gov with the associated documents attached in PDF format. Also, instead of physical checks, the associated fee payments (made payable to the DC Treasurer) must be submitted in electronic form via ACH, WIRE or Electronic Transfers into the Department's bank account as follows:

Name of Financial Institution: Wells Fargo Bank, NA

Full Bank Address: 1750 H Street, Suite 500, Washington, DC 20006

ABA/RTN: 121000248

Bank Account Number: 2000043154898

Account Title: Insurance Admin. (DISR) Lockbox

Account Type: Checking

Payment Method: ACH and WIRES

For updates on the District's response to the coronavirus (COVID-19), visit coronavirus.dc.gov.