



DISTRICT OF COLUMBIA
DEPARTMENT OF INSURANCE, SECURITIES AND BANKING
Banking Bureau – disb.annualreport@dc.gov

Phone: (202) 727-8000 • Fax: (202) 535-1194 • Internet: www.disb.dc.gov

MORTGAGE LENDER AND BROKER
COMPANY ANNUAL REPORT FOR ACTIVITY DURING CALENDAR YEAR 2016

INSTRUCTIONS: Pursuant to the Mortgage Lender and Broker Act of 1996, EACH MORTGAGE LENDER AND BROKER LICENSED DURING 2015 MUST FILE AN ANNUAL REPORT with the Commissioner of the Department of Insurance, Securities and Banking (“Department”) no later than **MARCH 31, 2017**.

IMPORTANT: Please note all activity for the **Company and any Branch location** covering mortgage transactions during the 2016 calendar year should be combined and reported to the Company Annual Report - *a separate report for each branch location is no longer required*. Include in this report only loans or other extensions of credit that are secured, in whole or in part, by any interest in residential real property in the District of Columbia. **Please enter the licensee’s name and COMPANY NMLS ID in the space provided.**

Licensee name: _____	COMPANY NMLS ID: _____
DBA name: _____	

	<i>Number</i>	<i>Dollar Amount</i>
Line 1: Number and dollar amount of all <u>District of Columbia</u> mortgage loans for which the licensee acted as the lender during 2016:	_____	\$ _____
Line 2: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee brokered during 2016:	_____	\$ _____
Line 3: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee serviced during 2016:	_____	\$ _____
Line 4: Add lines 1 – 3:	_____	\$ _____

Line 5: **Please review the Mortgage Activity Table.** Mortgage lenders and brokers are under a continuing obligation to **maintain adequate surety bond coverage required by D.C. law** as long as the license is in effect.

MORTGAGE ACTIVITY TABLE	
Loan Activity Amount	Required Bond Amount
\$1 million or less	\$12,500
\$1 million to \$2 million	\$17,500
\$2 million to \$3 million	\$25,000
Over \$3 million	\$50,000
Where 4 or more license applications are filed	Blanket surety bond in the amount of \$200,000

DELIVERY INSTRUCTIONS: The completed company annual report form should be submitted via **EMAIL** to disb.annualreport@dc.gov.

DUE DATE: Annual reports are **DUE BY MARCH 31, 2017**. Failure to file the annual report by the March 31, 2017 deadline will subject the licensee to a statutory penalty of **\$100** per business day until the annual report is filed with the Department.

AFFIDAVIT:

“I, _____, hereby certify, under penalty of perjury, that I have read and knowingly made the statements and representations provided in the annual report and that each and every such statement and representation is true, accurate, and complete to the best of my knowledge and belief. I understand that any false statement, misrepresentation, or fraud in connection with this report may be cause for revocation, suspension, or other disciplinary action against the licensee submitting the annual report.”

Print Name and Title _____
Signature

Personally appeared before me, _____, who being duly sworn according to law, deposes and says that the information contained in the Annual Report Form being submitted is true and correct.

Sworn and subscribed to before me on this _____ day of _____, 20____. (NOTARY SEAL)

Notary Public My commission expires: _____