

Instructions for Uniform Suspected Insurance Fraud Reporting Form

The Uniform Suspected Insurance Fraud Reporting Form, adopted by the NAIC Antifraud Task Force on Mar. 11, 2003, replaces the prior Task Force form. This form standardizes insurance fraud data for the insurance industry and makes it easier to report and track.

The directions below provide a general explanation of the information that you need to add to sections of the form. For sections that ask a variety of information (such as phone number, driver's license number, address), complete the form as it relates to the person/business mentioned in the Subject section, so that the insurance fraud division can track the information. If the subject has an alias with different dates of birth or other details, please place this information in the Additional Parties section of the form so investigators can differentiate between the personal data connected to each subject name.

Reporting Person and Insurance Company Information	
State of _____	Fill in the name of the state that the referral should be sent to. If the referral should be sent to more than one state because of jurisdiction, please send a separate referral to each affected state and complete the "Other Agency" portion of the referral form to alert the state fraud agencies so that they may coordinate their investigations.
Reporting Person	Name of the person who is completing the referral and can be contacted for additional information if necessary.
Insurance Company	Use the name of the insurance company that is the victim of the suspected fraud. Avoid using a "group" name.
NAIC #	The insurance company's 5-digit number issued by the National Association of Insurance Commissioners.
Mailing address	The mailing address of the person sending the referral
Phone number	Telephone number of the person sending the referral
Fax number	Fax number of the person sending the referral
Email address	Email address of the person sending the referral

Loss and Suspected Fraud Information

Detailed Synopsis	A report of the suspected insurance fraud. Please provide enough information to clearly indicate what the fraudulent activity is and any persons involved. Attach additional pages, if necessary. If you mention a person in this section, you should also provide more information about that person in either the "Subject Information" area or the "Additional Party Involved" area.
Date of Loss / Injury	Enter the date that the loss, claim, or injury occurred
Address of Loss	Address where the loss, claim, or injury occurred
Dates of Service	The date(s) of the health-related services that were provided to the insured or patient that is in question. Complete this section if the health-related services are in question.
Description of Service	Description of medical or dental service or procedure
Claim #	Claim number of the suspected fraudulent claim. If there are additional claim numbers that relate to the same investigation, please complete an additional referral form to capture the information as it relates to each individual claim.
Policy #	Policy number related to suspected fraud. If there is more than one policy number that relates to the investigation, please complete an additional referral form to capture the information as it relates to each individual policy.
Reserve Amount \$	Dollar amount held in reserve related to the fraud referral
Amount Paid \$	Dollar amount currently paid related to the fraud referral
Date Paid	Date that the payment was made
Loss Amount \$	Dollar amount of the loss related to the fraud referral
Settlement Amount \$	Dollar amount of any settlement paid related to the fraud referral. If applicable, complete parties to all settlements in the "Additional Parties" section.
Date Paid	Date that the settlement was paid

Procedure Code #s: <input type="checkbox"/> CPT <input type="checkbox"/> CDT	Use the five- digit CPT Codes or the CDT codes for the mental or dental services related to the referral.												
Insurance Type	Check off the type of insurance policy or policies that are related to the suspected fraud.												
	<table border="1"> <tr> <td>PC</td> <td>property & casualty (includes homeowners, farm, general liability, commercial property, commercial liability, inland marine)</td> </tr> <tr> <td>WC</td> <td>workers' compensation</td> </tr> <tr> <td>HC</td> <td>health care (includes health, HMOs, dental, vision)</td> </tr> <tr> <td>Auto</td> <td>personal auto, commercial auto</td> </tr> <tr> <td>Life</td> <td>life insurance (including credit life)</td> </tr> <tr> <td>Disability</td> <td>disability insurance (including credit disability)</td> </tr> </table>	PC	property & casualty (includes homeowners, farm, general liability, commercial property, commercial liability, inland marine)	WC	workers' compensation	HC	health care (includes health, HMOs, dental, vision)	Auto	personal auto, commercial auto	Life	life insurance (including credit life)	Disability	disability insurance (including credit disability)
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	Life	life insurance (including credit life)											
Disability	disability insurance (including credit disability)												
Civil Litigation Pending <input type="checkbox"/> Yes <input type="checkbox"/> No	If "Yes" is checked, please indicate any pertinent dates related to the litigation. such as a trial date.												
Subject Information													
Type	Indicate the role the subject had in this referral. "Type" codes are on page 2 of the referral form. If you do not find a "type" that is appropriate, use OT for "other" and fill in a description of the role in the space provided below OT.												
Name (Last/Business), (First), (Middle)	The subject's name, or the subject business name, if the subject is a business name, and the subject is unknown.												
Date of Birth	Date of birth of the subject. You may list multiple dates of birth if the dates of birth are used by the subject's name used in the referral. If the subject uses an alias, match the aliases with the dates of birth used with the alias.												
Age	Age of the subject												
SSN	Social Security Number of the subject												
Street Address (Include PO Box and Apartment #s), City, State, Zip, County	Address of the subject. You may list multiple addresses if the subject uses multiple addresses using the subject's name.												

Address Type: <input type="checkbox"/> Res. <input type="checkbox"/> Bus. <input type="checkbox"/> Mail drop <input type="checkbox"/> Other	Indicate if the subject's address is a residence, business, mail drop, or other. type
Fed. TIN <input type="checkbox"/> EIN <input type="checkbox"/> Number:	Subject's Federal Tax Identification Number or Employer Identification Number
Sex: M <input type="checkbox"/> F <input type="checkbox"/>	If unknown, do not complete the box.
Telephone No.	The subject's telephone number. There are boxes to enter two phone numbers.
Phone Type <input type="checkbox"/> home <input type="checkbox"/> cell <input type="checkbox"/> business	Check off the type of phone number, if known.
Driver's License #	Subject's driver's license number.
State	State that the driver's license was issued in
VIN	The Vehicle Identification Number of the vehicle involved in the referral
Vehicle Year	The year that the vehicle was manufactured
Make	The vehicle manufacturer or brand
Model	The specific type or style of vehicle
License Plate #	The license plate number of the subject's vehicle.
Reported Injuries	A general overview of the subject's injuries
Employer	The name of the subject's employer
Address & Phone #	The address and phone number of the subject's employer
Occupation	The subject's job title and/or profession
Additional Party Involved <input type="checkbox"/> AKA Information <input type="checkbox"/>	If other persons are involved with this referral such as a witness or co-conspirator, please complete a section about them on the "Additional Parties" section. Check off the box if the Subject is known by a different name. Please complete a section in the "Additional Parties" area as well.
Comments	Any information that is relevant to the case, not covered on the form.
Case Details (check all that apply)	
SIU Investigation Completed <input type="checkbox"/> Yes <input type="checkbox"/> No	
Date Completed	
Is there any reason to believe that this incident is related to other suspected	

fraudulent activity? <input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Statements (Witness / Insured / Subject) <input type="checkbox"/> Sworn <input type="checkbox"/> Recorded	
<input type="checkbox"/> Proof of Loss	
<input type="checkbox"/> Continuance of Disability Forms	
<input type="checkbox"/> Medical Records	
<input type="checkbox"/> Other	
<input type="checkbox"/> EUO / Deposition	
<input type="checkbox"/> Copies of Receipts	
<input type="checkbox"/> Expert Reports	
<input type="checkbox"/> Videos / Photos	
<input type="checkbox"/> Claim Information	
<input type="checkbox"/> Other	
<input type="checkbox"/> Law Enforcement / Other Agency Reports	
<input type="checkbox"/> Claim History Extract	
<input type="checkbox"/> IME Reports	
<input type="checkbox"/> Investigative Reports	
<input type="checkbox"/> External Database results	
<input type="checkbox"/> Other	
Identify Other Agency You Have Contacted Regarding This Referral	
Agency Type	If you have contacted another agency regarding this referral, check off the type of agency.
<input type="checkbox"/> Other State Fraud Bureau	
<input type="checkbox"/> Law Enforcement	
<input type="checkbox"/> Other Insurance Company	
<input type="checkbox"/> Regulatory Agency	
<input type="checkbox"/> Other	
Agency	Name of the agency you contacted
Contact Person	The person who received or is investigating your referral
Address/City/State/Zip	
Telephone	
Fax	
Case/Claim No.	The agency's case number or claim number

Suspected Fraud Types	
Suspected Fraud Types	Check all boxes that apply to your referral. The first column relates mostly to Property/Casualty referrals. The second column relates mostly to Fraud Types that could be found in any lie of insurance. The last column refers mostly to Health Care fraud referrals.
Subject / Additional Party Types	
Subject/Additional Party Types	Use the abbreviations to indicate which role that the Subject and/or Additional Parties played in the investigation. You may use more than one type per person.
Gray Box at the end of Referral Form	Additional information that the reporting state would like to inform the sender about. Each grey box will be specific to the state that will be receiving the referral.
Additional Party Involved / AKA Information	
Please use the directions in the Subject Information area to help you complete the Additional Parties section. This section was designed to assist investigators with identifying personal information that belongs to all parties of an investigation or the personal information associated with each alias used by a subject.	